



U.S. DEPARTMENT OF EDUCATION

Education Stabilization Fund

ESF / INTER AMERICAN UNIVERSITY OF PUERTO RICO OPTOMETRY SCHOOL / HEER Fund 2020 Provisional Annual Report

INTER AMERICAN UNIVERSITY OF PUERTO RICO OPTOMETRY SCHOOL

HEER Fund 2020 Provisional Annual Report

Annual Reporting Period: Mar 13, 2020 - Dec 31, 2020 (First Annual Report)

Report Annual Submission Date: Feb 3, 2021

⌵ Expand All

⌶ Collapse All

General Information ⌵

Institutional Identifiers and Contact Information:

Institution Name	DUNS #
INTER AMERICAN UNIVERSITY OF PUERTO RICO OPTOM	049742781

Please identify the OPEID(s) for this institution:

OPEID # 04241000

Please report on these HEERF grant PR/Award Numbers:

PR Number 1	Awarded Amount 1
P425E202555 (Student Aid)	\$33,462.00
PR Number 2	Awarded Amount 2
P425F200338 (Institutional Aid)	\$33,462.00

PR Number 3

P425N200208 (FIPSE Formula)

Awarded Amount 3

\$433,076.00

Total Awarded Amount

Total Awarded Amount

\$500,000.00

Funds Expended

- 1) Did you expend all of your HEERF funds prior to the end of the reporting period, making this your final annual report? *

Yes

No

Websites

- 2) Reporting on institution websites:

- a) CARES HEERF quarterly reporting webpage <https://www.optonet.inter.edu/coronavirus-dise>. URL:
- b) Student Portion Reporting: Provide all active website URLs posted by your campus, or by the institution on behalf of your campus(es), as required by the August 31 notice in the Federal Register  (including any URLs that are still active that provide archived information).

- c) Institutional Portion, (a)(2), and (a)(3) Reporting: Provide all active website URLs posted by your campus, or by institutions on behalf of your campus(es) as required by the quarterly Higher Education Emergency Relief Fund (HEERF) Data Collection Form  (including any URLs that are still active that provide archived information).

<https://www.optonet.inter.edu/wp-content/uploads/2019/08/quarterly-budget-sep-30>

Student Eligibility



3) How did your institution determine which students were eligible  to receive emergency financial aid grants under any of the CARES Act HEERF programs?

a) My institution has received an Institutional Student Information Record (ISIR) or Student Aid Report (SAR) on file that verifies student eligibility for Title IV, federal student aid (Title IV aid).

 Yes No

b) My institution allowed students to attest to their eligibility for Title IV aid by completing an alternative application form developed by the institution for this purpose.

 Yes No

c) My institution used another method for determining which students were eligible to receive emergency financial aid grants under the CARES Act.

 Yes No

Aid Determination



- 4) How did your institution determine which students received emergency financial aid grants to students and how much each student would receive? Please indicate if any of the following strategies were used.

4.1) Did you distribute the same amount to all eligible students regardless of different circumstances? * 

 Yes No

Aid Distribution

- 5) How did your institution distribute the emergency financial aid grants to students?

a) Checks

 Yes No

b) Electronic funds transfer /Direct deposit

 Yes No

c) Debit cards

 Yes No

d) Payment apps

 Yes No

e) Other

 Yes No

Emergency Grants ^

6) Did your institution provide any instructions, directions, or guidance to students (e.g. FAQs) about the emergency financial aid grants upon disbursement? *

Yes	No
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a) Upload PDF/MS Word document instructions, directions, or guidance (up to 5 files):

The grantee has uploaded 4 files in response to this question.

or

b) Provide any active URLs with instructions, directions, or guidance on how to use the grants:

Emergency Financial Aid ^

7) Use the instructions noted in the Appendix to complete the following table

Emergency Financial Aid Grants Awarded to Students (direct grants and amounts reimbursed)

Number of Students	Amount Disbursed - Section 18004(a)(1)	Amount Disbursed - Section
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Number of Eligible Students

7.1) How many students were eligible to receive HEERF emergency grants made available under section 18004 of the CARES Act i ?

7.1.1) All HEERF Emergency Financial Aid Grant eligible students	
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	218
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7.1.2) Undergraduates i) Full-time students 

a) Pell grant recipient



b) Non-Pell grant recipient

ii) Part-time students

a) Pell grant recipient

b) Non-Pell grant recipient

7.1.3) Graduates

i) Full-time students

Full-time students
218

ii) Part-time students

Part-time students

Number of HEERF Student Recipients – Emergency Grants to Students (unduplicated)

7.2) How many students received emergency financial aid grants provided under Section 18004 of the CARES Act? (unduplicated across all HEERF sections)

7.2.1) All HEERF Emergency Financial Aid Grant eligible students

213

7.2.2) Undergraduates 

i) Full-time students 



a) Pell grant recipient

b) Non-Pell grant recipient

ii) Part-time students

a) Pell grant recipient

b) Non-Pell grant recipient

7.2.3) Graduates

i) Full-time students

ii) Part-time students

Full-time students

213

Part-time students

Summary

The amounts displayed under the Summary section are auto-calculated based on the responses entered in the Number of HEERF Student Recipients and the HEERF Amount Disbursed questions

HEERF Amount of Grants Disbursed

What was the amount of grants disbursed to students through all HEERF funds?

All HEERF Emergency Financial Aid Grant eligible students

\$30,086.00

Undergraduates 

i) Full-time students 

	Pell grant recipient		Non-Pell grant recipient
a)	\$0.00	b)	\$0.00

ii) Part-time students

	Pell grant recipient		Non-Pell grant recipient
a)	\$0.00	b)	\$0.00

Graduates

i) Full-time students	ii) Part-time students
Full-time students	Part-time students
\$30,086.00	\$0.00

Average HEERF Amount Awarded

Among students who received HEERF emergency financial aid grants, what was the average award amount per student?

All HEERF Emergency Financial Aid Grant eligible students

\$141.25

Undergraduates 

i) Full-time students 

Pell grant recipient

a) \$0.00



Non-Pell grant recipient

b) \$0.00

ii) Part-time students

Pell grant recipient

a) \$0.00

Non-Pell grant recipient

b) \$0.00

Graduates

i) Full-time students

Full-time students
\$141.25

ii) Part-time students

Part-time students
\$0.00Funds Expended Categories 

- 8) Provide the total amount of HEERF funds expended on the categories provided. Please note that the CARES Act prohibits the use of funding for the provision of pre-enrollment recruitment activities; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship. In addition, please note that this template is meant to serve as a guide, and therefore IHEs are not required to categorize amounts in every category listed but only those that IHEs found applicable to their unique circumstances. Lastly, reported numbers should be consistent with previously published quarterly funding reports (the sum of quarterly reports should equal the value of the annual report).

- 8.1) Providing additional emergency financial aid grants to students. 

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$	\$	\$

8.2) Providing reimbursements for tuition, housing, room and board, or other fee refunds.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$33,462	\$	\$

8.3) Providing tuition discounts.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
<i>Not Applicable</i>	\$	\$

8.4) Covering the cost of providing additional technology hardware to students, such as laptops or tablets, or covering the added cost of technology fees.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$	\$	\$113,979

8.5) Providing or subsidizing the costs of high-speed internet to students or faculty to transition to an online environment.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$	\$	\$

8.6) Subsidizing off-campus housing costs due to dormitory closures or decisions to limit housing to one student per room; subsidizing housing costs to reduce housing density; paying for hotels or other off-campus housing for students who need to be isolated; paying travel expenses for students who need to leave campus early due to coronavirus infections or campus interruptions.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$	\$	\$

8.7) Subsidizing food service to reduce density in eating facilities, to provide pre-packaged meals, or to

add hours to food service operations to accommodate social distancing.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$	\$	\$

8.8) Costs related to operating additional class sections to enable social distancing, such as those for hiring more instructors and increasing campus hours of operations.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$	\$	\$

8.9) Campus safety and operations. 

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$	\$	\$10,182.5

8.10) Purchasing, leasing, or renting additional instructional equipment and supplies (such as laboratory equipment or computers) to reduce the number of students sharing equipment or supplies during a single class period and to provide time for disinfection between uses.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$	\$	\$

8.11) Replacing lost revenue due to reduced enrollment.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
<i>Not Applicable</i>	\$	\$

8.12) Replacing lost revenue from non-tuition sources (i.e., cancelled ancillary events; disruption of food service, dorms, childcare or other facilities; cancellation of use of campus venues by other organizations, lost parking revenue, etc.). 

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
<i>Not Applicable</i>	\$	\$

8.13) Purchasing faculty and staff training in online instruction; or paying additional funds to staff who are providing training in addition to their regular job responsibilities.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$	\$	\$140,562

8.14) Purchasing, leasing, or renting additional equipment or software to enable distance learning, or upgrading campus wi-fi access or extending open networks to parking lots or public spaces, etc.

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$	\$	\$

8.15) Other Uses of (a)(1) Institutional Portion funds. 

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$	<i>Not Applicable</i>	<i>Not Applicable</i>

8.16) Other uses of (a)(2) or (a)(3) funds, if applicable. 

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
<i>Not Applicable</i>	\$	\$

8.17) **Annual Expenditures for each Program**

Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable
\$33,462.00	\$0.00	\$264,723.50

8.18) **Total of Annual Expenditures**

Total Expenditures
\$298,185.50

Students Who Received Funds



⚠️ The U.S. Department of Education has determined submitters were not provided adequate guidance to ensure consistent interpretation of "dropped out" across institutions.

9) Provide the number of students who ever received HEERF grant funds in the reporting period and their enrollment status at the end reporting period

- | | | |
|--|--------|-------------------------------|
| a) How many students received emergency financial aid grants through any of the HEERF funds? | 213 | |
| b) How many of the students who ever received HEERF Emergency Financial Aid Grants dropped out (withdrawal without a completion record or return to school since receiving funds)? | 40 | ⚠️ Flagged for Quality |
| c) How many of the students who ever received HEERF Emergency Financial Aid Grants are still enrolled at your institution? | 153 | ⚠️ Flagged for Quality |
| d) How many of the students who ever received HEERF Emergency Financial Aid Grants have completed their program of study at your institution? | 20 | ⚠️ Flagged for Quality |
| e) Withdrawal rate for students who received HEERF Emergency Financial Aid Grants | 18.78% | ⚠️ Flagged for Quality |

Full-Time Equivalent (FTE) Positions



10) Provide the number of full-time equivalent (FTE) positions as of the listed reporting dates.

(The number of FTE positions includes all staff regardless of whether the position is funded by Federal, State, local, or other funds—including instructional and non-instructional staff and contractors—and equals the sum of the number of full-time positions plus the full-time equivalent of the number of part-time positions.)

Full-time equivalent (FTE) positions as of September 30, 2018	Full-time equivalent (FTE) positions as of September 30, 2019	Full-time equivalent (FTE) positions as of March 13, 2020	Full-time equivalent (FTE) positions on the last day of the reporting period
68	68	65	64

End Notes

- 1) See <https://www2.ed.gov/about/offices/list/ope/heerreporting.html>.
- 2) See Notice published in the Federal Register on July 29, 2020 (85 FR 45629): <https://www.federalregister.gov/d/2020-16429>.
- 3) Eligible in this context refers to anyone who could have received grants regardless of how an institution prioritized funding to specific subsets of students
- 4) Eligible students refer to students with a ISIR or SAR, alternative application form attesting to title IV aid eligibility. Please see also the Interim Final Rule published in the Federal Register on June 17, 2020 (85 FR 36494). Community Colleges in California, all public institutions in Washington State, and all institutions in Massachusetts have different requirements due to recent U.S. District Court actions. Please discuss with legal counsel. HEERF litigation updates can be found here.
- 5) For students in both undergraduate and graduate categories, classify as a graduate student.
- 6) For students who had multiple enrollment intensities use the enrollment status at the time of initial disbursement of the HEERF emergency grant (i.e. for students who received multiple disbursements, the status upon the first disbursement) to determine full-time status or part-time status.
- 7) Designate the student as a Pell grant recipient if the student was a Pell grant recipient at the time of initial disbursement of the HEERF emergency grant within the applicable reporting

period.

- 8) Includes non-FAFSA filers.
- 9) For the purposes of this report, institutions may determine the number of eligible students based on the number of students for whom the institution has received an Institutional Student Information Record (ISIR) plus the number of students who completed an alternative application form developed by the institution for this purpose. The institution may then apply this number to its own methodological framework for disbursement of funds to produce a final total of eligible students at the institution. The institution is not asked to make assumptions about the potential eligibility of students for whom the institution has not received an ISIR or an alternative application.
- 10) See FAQ #3 [here](#).
- 11) To support any element of the cost of attendance (as defined under Section 472 of the Higher Education Act of 1965, as amended (HEA)) per Section 18004(c) of the CARES Act and the [Interim Final Rule](#) published in the Federal Register on June 17, 2020 (85 FR 36494). Community Colleges in California, all public institutions in Washington State, and all institutions in Massachusetts have different requirements due to recent U.S. District Court actions. Please discuss with legal counsel. [HEERF litigation updates can be found here](#).
- 12) Including costs or expenses related to the disinfecting and cleaning of dorms and other campus facilities, purchases of personal protective equipment (PPE), purchases of cleaning supplies, adding personnel to increase the frequency of cleaning, the reconfiguration of facilities to promote social distancing, etc.
- 13) Including continuance of pay (salary and benefits) to workers who would otherwise support the work or activities of ancillary enterprises (e.g., bookstore workers, foodservice workers, venue staff, etc.).
- 14) Please post additional documentation as appropriate and briefly explain in the “Explanatory Notes” section. Please note that costs for Section 18004(a)(1) Institutional Portion funds may only be used “to cover any costs associated with significant changes to the delivery of instruction due to the coronavirus, so long as such costs do not include payment to contractors for the provision of pre-enrollment recruitment activities; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship.”
- 15) Please post additional documentation as appropriate and briefly explain in the “Explanatory Notes” section. Please note that costs for Sections 18004(a)(2) and (a)(3) funds may only be used “to defray expenses, including lost revenue, reimbursement for expenses already incurred, technology costs associated with a transition to distance education, faculty and staff trainings, payroll incurred by institutions of higher education and for grants to students for any component of the student’s cost of attendance (as defined under section 472 of the HEA), including food, housing, course materials, technology, health care, and child care.”

16) For students who receive grants from different HEER funds, count them only once.

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